



Canadian Lawyers Liability Assurance Society

2019/2020 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Davies Ward Phillips & Vineberg LLP
2. Address of principal office: 155 Wellington Street West
Toronto, ON M5V 3J7
Phone: (416) 863-0900 Fax: (416) 863-0871
3. Address, phone and fax numbers of other office(s):
See attached schedule A
4. Management or service companies, date(s) established and services provided:
See Schedule B
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

N/A

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2019:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
 - b) Number of patent & trademark agents (who are not lawyers).
 - c) Number of other non-lawyer consultants.
 - d) Number of paralegals.
 - e) Number of other employees.
 - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>		<u>Last Year</u>	
a) Corporate and Commercial Law	20	%	20	%
b) Criminal Law	0	%	0	%
c) Family Law	0	%	0	%
d) Intellectual Property	0	%	0	%
e) Labour Law	0	%	0	%
f) Litigation	20	%	20	%
g) Real Estate	18	%	18	%
h) Securities Law	20	%	20	%
i) Tax Matters	10	%	10	%
j) Wills, Estates, Trust	2	%	2	%
k) Other (please specify)	10	%	10	%

Administrative & Regulatory, Pension, Environmental, Bankruptcy, Information Technology & Competition Law

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2018. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

☐ \$10M xs \$160M ☐ \$20M xs \$160M
☐ \$30M xs \$160M ☐ \$40M xs \$160M
☐ \$50M xs \$160M ☒ \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2019 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____

M. Koszegi

(Must be signed by a Partner of the Firm)

Name of Signatory: _____

Melanie Koszegi

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

April 1, 2019

APPENDIX A
PREDECESSOR FIRMS

Name of Firm: Davies Ward Phillips & Vineberg LLP

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2019

Name of Firm: Davies Ward Phillips & Vineberg LLP

	<u>CANADA</u>				<u>OUTSIDE OF CANADA</u> ^{/5}	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces</u> (Please specify)	<u>U.S.</u> <u>Other</u> <u>Locations</u>
a) No. of Lawyers ^{/1}			91	72		12
b) No. of Patent & Trademark Agents ^{/2}						
c) No. of Non-lawyer Consultants ^{/3}						
d) No. of Paralegals			19	19		1
e) No. of Other Employees			204	124		12
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}			51	16		

^{/1} Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

^{/2} These are not lawyers.

^{/3} Please complete Appendix C if individuals are reported under this category.

^{/4} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

^{/5} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

ATTACHMENT TO APPENDIX B

a) Number of Lawyers

Lawyer Name		Office	Year of Call	Year Joined the Firm	Year Became a Partner
MONTREAL OFFICE					
Unit Partners (22)					
Anthony	Arquin	Montreal	2006	2006	2006
Marie-Claude	Bellemare	Montreal	2001	2017	2017
Elias	Benhamou	Montreal	1989	1988	1988
Brian	Bloom	Montreal	1992 QC; '98 ON	2000	2000
Marc-André	Boutin	Montreal	1998	1996	1996
Cara	Cameron	Montreal	2002 QC; '03 ON	2002	2002
Olivier	Désilets	Montreal	1998	2001	2001
Denis	Ferland	Montreal	1984	1996	1996
Fotini	Gagaoudakis	Montreal	2010	2010	2010
Michel	Gelinas	Montreal	1992	2018	2018
Julie	Girard	Montreal	2005	2017	2017
Nathalie	Goyette	Montreal	1990	2019	2019
Jean-Philippe	Groleau	Montreal	2004	2009	2009
Joseph	Jarjour	Montreal	1999	1998	1998
Philippe	Johnson	Montreal	1999	1997	1997
Michael N.	Kandev	Montreal	2003 QC; '02 ON	2002	2002
Christian	Lachance	Montreal	2005	2005	2005
Nicolas	Morin	Montreal	2005	2014	2014
Louis-Martin	O'Neill	Montreal	1999	1999	1999
Nicolas	Rodrigo	Montreal	1999 QC '01, '01 ON	1996	2003
William	Rosenberg	Montreal	1989	2017	2017
Sébastien	Roy	Montreal	2003	2003	2003
Non-Unit Partners (11)					
Élise	Beauregard	Montreal	2009	2009	2009
Lucien	Bouchard	Montreal	1964	2001	2001
Laurence	Detière	Montreal	1998	1996	1996
Marie-Andrée	Latreille	Montreal	1983	1988	1988
Gabriel	Lavery Lepage	Montreal	2011	2011	2011
John	Lennard	Montreal	2011	2011	2011
Peter	Mendell	Montreal	1969	1969	1969
Mylène	Nadeau	Montreal	2010	2010	2010
Élisabeth	Robichaud	Montreal	2011	2017	2017
Florence	Simard	Montreal	2011	2011	2011
Robert S.	Vineberg	Montreal	1968	1967	1967
Associates (39)					
Reuben	Abitbol	Montreal	2015	2016	2016
Fanny	Albrecht	Montreal	2014 '13 Paris	2015	2015
Benoit	Archambault	Montreal	1993	2003	2003
Florence	Bienvenu	Montreal	2018	2018	2018
Jesse	Boretsky	Montreal	2019	2019	2019
Brigitte	Brathwaite	Montreal	1996	2001	2001
Gillaume	Charlebois	Montreal	2018	2017	2017
Sammy	Cheab	Montreal	2019	2019	2019
Andrea	Dupuis	Montreal	2014	2014	2014
Marc André	Gaudreau Duval	Montreal	2013	2017	2017
Élodie	Fon	Montreal	2018	2018	2018
Hannah	Geis	Montreal	2019	2019	2019
Shayna	Goldman	Montreal	2015	2015	2015

Sarah	Gorguos	Montreal	2016	2016	2016
François Alexandre	Guay	Montreal	2016	2018	2018
Matthias	Heilke	Montreal	2017	2017	2017
Ariane	Hunter-Meunier	Montreal	2019	2019	2019
Olivia	Khazam	Montreal	2015	2015	2015
Talya	Kornitzer	Montreal	2019	2019	2019
Patrice	Labonté	Montreal	2018	2018	2018
Faiz	Lalani	Montreal	2016 ('15 ON)	2017	2017
Pierre	Lantoin	Montreal	2018	2018	2018
Charles	Larose-Joidoin	Montreal	2018	2018	2018
Antoine	Le Bihan	Montreal	2018	2018	2018
Joseph-Anaël	Lemieux	Montreal	2017	2017	2017
Jessica	Major	Montreal	2016	2015	2015
Charlie	Marineau	Montreal	2018	2018	2018
Pascale	Nguyen	Montreal	2018	2018	2018
Vanessa	Pendenza	Montreal	2015	2015	2015
Agnès	Pignoly	Montreal	2013	2013	2013
Nathalie	Proulx	Montreal	2004	2008	2008
Sylvie	Samson	Montreal	2014; '14ON	2014	2014
Christina	Sauro	Montreal	2012	2012	2012
Jean	Teboul	Montreal	2015	2015	2015
Hannah	Toledano	Montreal	2017	2017	2017
Geneviève	Trudeau	Montreal	1997	2017	2017
Anne-Sophie	Villeneuve	Montreal	2016	2016	2016
Dov	Whitman	Montreal	2016	2014	2014
Alyssa	Wiseman	Montreal		2016	2016

Counsel (4)					
Nathan	Boidman	Montreal	1981	1982	1982
Morris	Fish	Montreal	1964	2014	2014
Samuel	Minzberg	Montreal	1975	2003	2003
Pierre-André	Themens	Montreal	1977	1976	1976

Clerks (19)					
Anne	Boissé	Montreal		Corporate	
Isabella	Camarda	Montreal		Real Estate	
Nathalie	Chee Hin Sam	Montreal		Corporate	
Wendy	Curran	Montreal		Estates	
Josée	Dansereau	Montreal		Corporate – SEDAR	
Karla	De Flavis	Montreal		Corporate	
Toni	Gelfusa	Montreal		Real Estate	
Liane	Genest	Montreal		Corporate	
Tania	Gradito	Montreal		Litigation	
Suzie	Lambert	Montreal		Real Estate	
Manon	Lévesque	Montreal		Corporate	
Andrea	Orsini	Montreal		Corporate	
Linda	Pagé	Montreal		Corporate	
Sabrina	Pepponi	Montreal		Corporate	
Marie-Claude	Ross	Montreal		Corporate	
Mitchelle	Siguineau	Montreal		Corporate	
Panagiota	Sofronas	Montreal		Corporate	
Catia	Veglia	Montreal		Corporate	
Nancie	Thibault	Montreal		Corporate	

ATTACHMENT TO APPENDIX B
a) Number of Lawyers

Lawyer Name		Office	Year of Call	Year Joined the Firm
TORONTO OFFICE				
UNIT PARTNERS (30)				
William M.	Ainley	Toronto	1981	1981
Neal H.	Armstrong	Toronto	1984	1984
Frank S.A.	Arnone	Toronto	2002A	2002
Anita	Banicevic	Toronto	2000	2000
Jessica	Bullock	Toronto	2008 ON; '03AB	2013
James D.	Bunting	Toronto	2003	2003
Sean R.	Campbell	Toronto	2004	2004
James	Dinning	Toronto	2008	2008
Sandra A.	Forbes	Toronto	1992	1992
Richard	Fridman	Toronto	2002B ON; '97 QC; '00 NY	2002
Mark C.	Katz	Toronto	1989	1999
Elisa K.	Kearney	Toronto	2004; '10 NY	2004
Maureen	Littlejohn	Toronto	2009	2009
Natasha	MacParland	Toronto	1999	2005
Steven	Martin	Toronto	2001	2001
Matthew	Milne-Smith	Toronto	2001 ON; '00 NY	2001
Robert S.	Murphy	Toronto	1999	1999
Dale	Osadchuk	Toronto	2003	2003
Carol D.	Pennycook	Toronto	1985 ON '81 ALTA	1986
Alexandria J.	Pike	Toronto	1995	2001
Sarah V.	Powell	Toronto	1993	1996
David	Reiner	Toronto	2008	2008
Natalie	Renner	Toronto	2008	2008
Derek	Ricci	Toronto	2006	2006
Cameron M.	Rusaw	Toronto	1990	1990
Luis	Sarabia	Toronto	1995	2002
Robin B.	Schwill	Toronto	1996	2007
Gregory	Southam	Toronto	1998	1998
Patrice	Thomas	Toronto	2005	2008
Charles	Tingley	Toronto	2002	2012
NON UNIT PARTNERS (16)				
Robert T.	Bauer	Toronto	1976	1985
Andrew	Carlson	Toronto	2010	2010
Chantelle	Cseh	Toronto	2011	2011
Michael	Disney	Toronto	1980	1980
Sharon	Ford	Toronto	2012	2016
Ivana	Gotzeva	Toronto	2002	2002
Ioana	Hancas	Toronto	2011	2011
Cynthia	Hill	Toronto	2012	2012
Melanie	Koszegi	Toronto	2001	2006
Peter	Martorelli	Toronto	2012	2012
Pawel	Mielcarek	Toronto	2011	2011
Daniel	Pearlman	Toronto	2012	2015
Jennifer	Prieto	Toronto	2011	2011
Jared	Solinger	Toronto	2012	2012
Sarah	Weingarten	Toronto	2010	2010
David	White	Toronto	2000	2000

ASSOCIATES (40)				
Ryan	Abrahamson	Toronto	2013	2018
Stuart	Berger	Toronto	2017	2017
Jonathan	Bilyk	Toronto	2016	2016
Devon	Comstock	Toronto	2013	2013
Adam	Curran	Toronto	2015	2015
Etyan	Dishy	Toronto	2018	2018
Sarah	Elharrar	Toronto	2016	2016
Andrew	Ellis	Toronto	2013	2013
Sandrine	Emmanuel	Toronto	2018	2018
David	Feldman	Toronto	2016	2016
Brittany	Finn	Toronto	2016	2018
Amanda	Fiorelli	Toronto	2017	2017
Jaimie	Franks	Toronto	2017	2017
Rui	Gao	Toronto	2018	2018
Russell	Hall	Toronto	2018	2018
Simon	Kaplan	Toronto	2017	2017
Evan	Kenyon	Toronto	2015	2017
Rachael	Lee	Toronto	2017	2017
Chenyang	Li	Toronto	2018	2018
Anne	Madden	Toronto	2016	2016
Alysha	Manji-Knight	Toronto	2014	2014
Andrew	Mihalik	Toronto	2017	2017
Stefanie	Milner	Toronto	2016	2016
Ha	Nguyen	Toronto	2017	2017
Dajena	Pechersky	Toronto	2018	2018
Daniel	Peterson	Toronto	2013	2013
Marc	Pontone	Toronto	2016	2016
Geoffrey	Rawle	Toronto	2015 (BC'10; NY'11)	2015
Zain	Rizvi	Toronto	2013	2013
Carlos	Sayao	Toronto	2015	2015
Matthew	Sherman	Toronto	2018	2018
Ghaith	Sibai	Toronto	2017	2017
Zachary	Silver	Toronto	2018	2018
Kristine	Spence	Toronto	2014	2017
Jason	Stapley	Toronto	2013	2013
Veronika	Stefanski	Toronto	2018	2018
Angela	Susac	Toronto	2016	2016
Emily	Uza	Toronto	2015	2015
Todd	Wierenga	Toronto	2018	2018
Samara	Zaifman	Toronto	2018	2018

COUNSEL (2)				
David A.	Brown	Toronto	1968	2005
Ray	Rubin	Toronto	1992	2013

AFFILIATED LAWYERS (3)				
Stephanie	Ben-Ishai	Toronto	2002	2010
John	McCamus	Toronto	1973	2000
Poonam	Puri	Toronto	1999	2014

CLERKS (19)

Nora	Agnew	Toronto		Corporate
Kerrie	Atkins	Toronto		Competition
Tanya	Barbiero	Toronto		Litigation
Stephanie	Bianco	Toronto		Litigation
Debra	Bilous	Toronto		Litigation
Geoff	Chapman	Toronto		Corporate
Nancy	Cohen	Toronto		Securities
Debbie	Elmhirst	Toronto		Environment
Julia	Fetila-Fasie	Toronto		Litigation
Wendy	Gray	Toronto		Corporate
Jenny	Hughes	Toronto		Estates
Lisa	Hughes	Toronto		Asset Searches
Donna	Jacobs	Toronto		Corporate
Corinne	Lester	Toronto		Competition
Bev	Liske	Toronto		Corporate
Cathy	Lo Presti	Toronto		Real Estate
Nelly	Mior	Toronto		Corporate
Tracey	O'Rahilly	Toronto		Litigation
Ruth	Oseida	Toronto		Real Estate

ATTACHMENT TO APPENDIX B

a) Number of Lawyers

Lawyer Name		Office	Year of Call	Year Joined the Firm	Year Became a Partner
TORONTO OFFICE					
Unit Partners (5)					
Peter	Glicklich	New York	1982 NY; '83 US Tax Ct.	2003	2003
Steven H.	Levin	New York	1978 DC; '79 MA; '85 NY	1984	2001
Jeffrey	Nadler	New York	1995 ON; '98 NY; '09 Israel	2010	2010
Paul	Watkins	New York	2009	2009	2014
Zelinger	Chaim	New York	2005	2014	2014
NON UNIT PARTNERS (4)					
Gregg	Benson	New York	2001	2016	2016
Marc A.	Berger	New York	1995 NY & NJ	1996	2001
Harry	Heching	New York	1979	2002	2002
Nir	Servatka	New York	2013 NY; '09 Israel	2013	2018
ASSOCIATES (2)					
Shahar	Gonen	New York	2018	2018	
Bob	Hu	New York	2018	2017	
COUNSEL (1)					
Heath	Martin	New York	2007 NY; '03 MA	2015	

f) No. of lawyers who are not employees of the Firm, who, directly or indirectly, provide services to professional corporations which are partners of the firm.

Toronto (51)				
Lawyer Name	Professional Corporation	Date of Call	Date Joined the Firm	Date Became a Partner
Addy, George	George Addy Professional Corporation	1979 ('99 Alta)	2002	2002
Alexander, Anthony	Anthony Alexander Professional Corporation	1994	2015	2015
Anderson, Christopher	Christopher Allan Anderson Professional Corporation	2006	2006	2009
Atkinson, Aaron	Aaron Atkinson Professional Corporation	2001	2018	2018
Baron, Timothy Alfred	A Timothy Baron Professional Corporation	1996	2005	2005
Barry, Patrick Gerald	Patrick G. Barry Professional Corporation	1993	1993	1995
Basra, Sarbjit Singh	S.S. Basra Professional Corporation	1994	1994	1996
Bodrug, John David	John D. Bodrug Professional Corporation	1987	1987	1989
Buchner, William E.	William E. Buchner Professional Corporation	2009	2009	2014
Burke, Andrea Louise	Andrea Louise Burke Professional Corporation	1996	1996	1998
Comeau, Gilles	Gilles R. Comeau Professional Corporation	2009	2009	2014
Crosbie, Robert Ian	R. Ian Crosbie Professional Corporation	1988	1991	1992
Cutler, Steven	SJ Cutler Professional Corporation	2010	2015	2017
Doris, James William Earl	James Doris Professional Corporation	1992	1993	1994
Fanaki, Adam	Adam Fanaki Professional Corporation	1996	2009	2009
Frankel, Steven G.	Steven G. Frankel Professional Corporation	2010	2010	2017
Goldlist, Albert Gerold	A. Gerold Goldlist Professional Corporation	1982	1982	1984
Greenspoon, Kevin	Kevin Greenspoon Professional Corporation	2009	2009	2014
Grossklaus, Jennifer Lilliane	Jennifer Grossklaus Professional Corporation	2002A	2002	2004
Harris, Steven Michael	Steven M. Harris Professional Corporation	1997	1997	1999
Howard, Gregory James	Gregory J. Howard Professional Corporation	1980	1987	1987
Hyman, Scott Richard	Scott R. Hyman Professional Corporation	1993	1999	1999
Jamison, Brooke	Brooke Jamison Professional Corporation	2002	2002	2005
Kuretzky, Joshua	Joshua H. Kuretzky Professional Corporation	2006	2006	2009
Lamarre, Paul Anthony	Paul A. Lamarre Professional Corporation	2003	2003	2006
Lombardi, Gabriella	Gabriella Lombardi Professional Corporation	1995	1995	1997
Longhurst, Jennifer	Jennifer Longhurst Professional Corporation	2003	2005	2007
Lubetsky, Michael H.	Michael H. Lubetsky Professional Corporation	2012 ('09 QC)	2009	2014
McReynolds, Donald Shawn	D S McReynolds Professional Corporation	1984	1984	1986
Mercier, Vincent Alonzo	Vincent A. Mercier Professional Corporation	1990	1990	1992
Nadler, Irwin Berl	Berl Nadler Professional Corporation	1977	1980	1984
Olasker, Patricia	Patricia Olasker Professional Corporation	1979	1998	1998
Roth, Elie Shmaya	E. S. Roth Professional Corporation	2000	2000	2002
Ruby, Stephen	S.S. Ruby Professional Corporation	1973	2001	2001
Scoler, Joel M.	JM Scoler Professional Corporation	1993 ('94 NY)	2014	2014
Seifred, Brett	Brett A. Seifred Professional Corporation	2002	2007	2007
Shiff, Arthur Seymour	Arthur Shiff Professional Corporation	1977	1977	1979
Shishler, Melanie Ann	Melanie Shishler Professional Corporation	1999	2001	2001
Sood, Jitendra K.	Jitendra K. Sood Professional Corporation	1997	2017	2017
Spadaro, Anthony	Anthony Spadaro Professional Corporation	2009	2009	2014
Stacey, Gillian Ruth	GR Stacey Professional Corporation	1994	1994	1996
Swartz, Jay Arnold	Jay A. Swartz Professional Corporation	1975	1975	1976
Thomson, Kent E.	Kent E. Thomson Professional Corporation	1984	2001	2001
Turner, Geoffrey Scott	Geoffrey S. Turner Professional Corporation	1993	1993	1995
Ulmer, John Michael	John J. Ulmer Professional Corporation	1980	1980	1981
Upshall, Robin	Robin R. Upshall Professional Corporation	2005	2005	2008
Vesey, Derek Richard Gabor	Derek Vesey Professional Corporation	1998	1998	2000
Willard, Steven	Steven Willard Professional Corporation	1999	2016	2016
Williams, Nicholas	Nicholas C. Williams Professional Corporation	1989	2009	2009
Wilson, David T.H.	David T.H. Wilson Professional Corporation	2007 ('99 NY)	2006	2006
Youdan, Timothy	Timothy Youdan Professional Corporation	1979 ('71 Eng)	1992	1994

Montreal (16)				
Brock, William	William Brock Professional Corporation Inc.	1979	1979	1986
Cherney, Richard D.	Richard D. Cherney Professional Corporation Inc.	1985	1985	1993
DuPont, Guy	Guy Du Pont Professional Corporation	1976 ('83 Ont)	1989	1989
Gaumont-Carignan, Véronique	Véronique Gaumont-Carignan Professional Corporation Inc.	2009	2014	2016
Kujavsky, Brian	Brian Kujavsky Professional Corporation Inc.	2004	2004	2008
Greenstone, Elliot A.	Elliot A. Greenstone Professional Corporation Inc.	2003	2005	2007
Moubayed, Léon	Léon Moubayed Professional Corporation Inc.	2010	2010	2017
Patry, Louise	Louise Patry Professional Corporation Inc.	1981	1986	2001
Pollack, George	George Pollack Professional Corporation Inc.	1981 ('91) Ont	2002	2002
Rosen, Hillel W.	Hillel W. Rosen Professional Corporation Inc.	1988	1988	1996
Rudick, Rhonda	Rhonda Rudick Professional Corporation Inc.	1997	1997	2001
Ruf, Franziska J.	Franziska J. Ruf Professional Corporation Inc.	1987	2009	2009
Thériault, Sébastien	Sébastien Thériault Professional Corporation Inc.	1997	1997	20014
Vaillancourt, Marie-Emmanuelle	Marie-Emmanuelle Vaillancourt Professional Corporation Inc.	1999	2006	2006
Vineberg, Justin D.	Justin D. Vineberg Professional Corporation Inc.	1998	1998	2002
Wolfensohn , Dan	Dan Wolfensohn Professional Corporation Inc.	2003	2007	2009

APPENDIX C
ACTIVE NON-LAWYER COUNSELLANTS OF THE FIRM AS OF MARCH 1, 2019
(Excluding Patent & Trademark Agents)

Name of Firm: Davies Ward Phillips & Vineberg LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limits:	\$ _____ per claim, \$ _____ aggregate
	\$ _____ per claim, \$ _____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX D

“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: Davies Ward Phillips & Vineberg LLP

- The Named Insured under the CLLAS policies prior to February 1, 2001 was Davies, Ward & Beck LLP.
- On February 1, 2001, Davies, Ward & Beck LLP merged with Goodman Phillips & Vineberg of Montreal. Effective the same day, the Named Insured was amended to Davies Ward Phillips and Vineberg LLP. The firm has additional Named Insureds as follows:

Davies Ward Phillips & Vineberg LLP (an Ontario partnership)
Davies Ward Phillips & Vineberg S.E.N.C.R.L., s.r.l.
Davies Ward Phillips & Vineberg s.r.l.
Davies Ward Phillips & Vineberg LLP (a New York partnership)
Davies Ward Phillips & Vineberg
Davies, Ward & Beck LLP
Davies Ward & Beck
DWB Services Limited Partnership (Toronto Service Company)
DWPV Services Limited Partnership (Toronto Service Company)
DWB Management Limited (Toronto Service Company)
DWPV Management Limited (Toronto Service Company)
Davies Ward & Beck Foundation (Toronto based charitable foundation)
Davies Ward Phillips & Vineberg Foundation (Toronto based charitable foundation)
DWB Management Services Inc. (General partner of DWB Services Limited Partnership)
DWPV Management Services Inc. (General partner of DWPV Services Limited Partnership)
Goodman Phillips & Vineberg G.P.
Goodman Phillips & Vineberg S.E.N.C.
Phillips & Vineberg
Phillips & Vineberg, G.P.
Phillips & Vineberg S.E.N.C.
Phillips & Vineberg SA
Phillips & Vineberg Suisse
Goodman Phillips & Vineberg (a Quebec partnership)
Goodman Phillips & Vineberg (a partnership operating in Beijing, PRC)
Goodman Phillips & Vineberg (a New York partnership)
Goodman Freeman Phillips & Vineberg (a Quebec partnership)
GP&V Management Limited Partnership (Montreal Service Company)
DWPV (Québec) Management L.P.
Services GP&V Management Limited Partnership (Montreal Service Company)
Services DWPV (Québec) S.E.C.
Services GP&V SEC (Montreal Service Company)
Société en Commandite Services GP&V (Montreal Service Company)

GP&V Management Inc.
 DWPV (Québec) Management Inc.
 Gestion GP&V Inc. (General Partner of GP&V Management Limited Partnership)
 Gestion DWPV (Québec) Inc.
 P&V Management Ltd.
 Gestion P&V Ltée
 GP&V Foundation Inc. (Montreal based charitable foundation)
 DWPV (Québec) Foundation Inc.
 Foundation GP&V Inc. (Montreal based charitable foundation)
 Fondation DWPV (Québec) Inc.
 Obsjac Services Ltd.
 Services Obsjac Ltée
 Narbo Investment Corp. (nominee company used by Montreal office for certain confidential matters)

Coverage in respect of the above entities and related Insureds will extend to any liability they may have relating to the activities (i) prior to July 1, 2010, of Reinhart Marville Torre, a French law firm, previously operating as Davies Ward Phillips & Vineberg and, prior to February 1, 2001, as Goodman Phillips & Vineberg, (ii) prior to February 1, 2001, of Fong & Ng, (iii) prior to February 1, 2001, of Goodmans LLP and its predecessors, Goodman Phillips & Vineberg LLP, Goodman Phillips & Vineberg and Goodman & Goodman, all Ontario partnerships, (iv) of Goodman Phillips & Vineberg, the International Partnership, for the purposes of operating offices in Beijing, Hong Kong, New York, Paris, Singapore and Vancouver, (v) of Goodman Phillips & Vineberg, the national affiliation, (vi) of Goodman Phillips & Vineberg, the New York partnership, (vii) of Goodman Phillips & Vineberg, the Hong Kong partnership, (viii) of Goodman Phillips & Vineberg, the partnership operating in Beijing, PRC, (ix) of Goodman Phillips & Vineberg, the partnership operating in Vancouver and (x) of Goodman Phillips & Vineberg, the partnership operating in Singapore.

- Goodman Phillips & Vineberg of Montreal and Goodman Phillips & Vineberg of Toronto had an international partnership known as Goodman Phillips & Vineberg. The international partnership maintained offices in Vancouver, New York, Paris, Singapore, Hong Kong and Beijing.
- The international partnership dissolved on February 1, 2001 when Goodman Phillips & Vineberg of Montreal merged with Davies, Ward & Beck LLP.
- On February 1, 2001, Davies Ward Phillips & Vineberg LLP took over the New York and Beijing offices of the international partnership and now has offices in Toronto, Montreal, New York. The Beijing office was closed at the end of 2004.
- The Firm does not have an Associated Firm Endorsement. Instead, the exposures are handled through the endorsement listing additional Named Insureds.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Davies Ward Phillips & Vineberg LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Léon Moubayed	Montreal	6%
Guy DuPont	Montreal	6%

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
New York		12	

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: Professional Liability Insurance
Insurance Carrier: CNA - See attached Appendix E "Additional Insurers"
Policy Number: 592420426
Period of Insurance: July 1, 2018 to July 1, 2019
Retroactive Date: _____
Limits: \$ 30,000,000 US per claim, \$ 30,000,000 US annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Appendix E

4. Other Insurance

Insurance Carrier:

Columbia Casualty Company	- 25%
Nautilus Insurance Company	- 25%
Indian Harbor Insurance Company	- 16.50%
Axis Surplus Insurance Company	- 18.50%
Underwriters at Lloyds, London	- 15%

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2018

Name of Firm: Davies Ward Phillips & Vineberg LLP

A handwritten signature in black ink, consisting of a large, stylized 'D' followed by a checkmark-like flourish.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

Davies Ward Phillips & Vineberg

As at:

December 31, 2018

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Incurred Liability
CLLAS1988-007	ROBERT T. BAUER	DEVELOPMENT CORPORATION\DUSIT LANES DEV.	01-Jul-1987	01-Jan-1987	17-Nov-1988		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-011	NICHOLAS J. LEBLOVIC	CITIBANK CANADA	01-Sep-1987	01-Dec-1985	01-Jul-1988	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-013	BRIAN K. GRASMUCK	DONALD RIPLEY	01-Nov-1987	01-Oct-1987	01-Jun-1988	NCP	\$ -	\$ 708	\$ -	\$ -	\$ 708	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-018	EDWARD C. HANNAH	DAVID K. LOWRY	01-Feb-1988	01-Mar-1987	01-Sep-1989		\$ -	\$ 15,878	\$ -	\$ -	\$ 15,878	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-031	Donald C. Stanbury	KEVIN JAMES DWYER	01-May-1988	01-Feb-1986	01-Mar-1989	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-032	CHARLES OVERLAND	AUDREY CAMPBELL	28-Jun-1988	01-Jun-1987	12-Jun-1990		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-038	EDWARD C. HANNAH	ECO CORPORATION	01-Aug-1987	01-Jul-1986	01-Jun-1988	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-051	Brian R. Carr	ALBERTO CULVER CANADA INC.	01-Jun-1988	01-Dec-1987	01-Apr-1988	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-052	I. BERL NADLER	CANADIAN MANOIR	01-Jun-1988	01-Jul-1987	01-Nov-1989	NCP	\$ -	\$ 18	\$ -	\$ -	\$ 18	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-025	JOHN I. LASKIN	COMMODORE BUSINESS LTD	01-Jan-1990	01-Oct-1984	01-Dec-1992		\$ 100,000	\$ 72,053	\$ -	\$ -	\$ 172,053	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1991-050	Colin Campbell	NLUK - CELPAP A.B.	01-Dec-1990	01-Nov-1990	24-Jan-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-048	T.B.A. T.B.A.	TRITEN CORPORATION	01-Dec-1991	01-Sep-1990	01-Jun-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-081	WILLIAM M. AINLEY	PEOPLES/MONTREAL TRUST/CREDIT LYONN	28-Feb-1992	31-May-1990	30-Jun-2003		\$ 628,653	\$ 371,347	\$ -	\$ -	\$ 1,000,000	\$ 1,371,347	\$ 8,837	\$ -	\$ -	\$ 1,380,884
CLLAS1992-107	JOHN D. BODRUG	BEAM OF CANADA INC.	17-Mar-1992	01-Feb-1992	01-Sep-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1992-184		Estate of Anne Fox	16-Jul-1991		30-Jun-1993	CPF	\$ 31,883	\$ 4,806	\$ -	\$ -	\$ 36,689	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-004	GREGORY J. HOWARD	ONTARIO RESORTS INC.	24-Jul-1992	25-Mar-1992	01-Jan-1993	SNC	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-022	JOEL T. KISSACK	MACLEOD-STEDMAN INC.	31-Oct-1992	31-Oct-1990	31-Mar-1998	NCP	\$ -	\$ 9,783	\$ -	\$ -	\$ 9,783	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-070	I. BERL NADLER	Bk of N.S./CIBC/National and Royal/Olympia & York	18-Feb-1993	14-Jun-1989	10-Feb-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-074	ROBYN M. BELL	Garfield's Fashions Limited	31-Mar-1993	30-Jun-1992	23-Jan-1995	NCP	\$ -	\$ 3,592	\$ -	\$ -	\$ 3,592	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-101	GRAY E. TAYLOR	The Business Depot Ltd.	22-Apr-1993	29-Oct-1992	30-Jun-1993	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1993-132	John Laskin	Dr. I.S. Rosenhek	29-Jun-1993	30-Apr-1993	24-Mar-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 606	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1994-001	JAY A. SWARTZ	Gordon Capital Corporation	16-Jul-1993	01-Jun-1993	14-Nov-2006		\$ -	\$ 1,000,000	\$ -	\$ -	\$ 1,000,000	\$ 13,250,000	\$ 2,125,213	\$ -	\$ -	\$ 15,375,213
CLLAS1994-026	THOMAS A. SWEE	Ondaatje Managed Investments Limited	30-Sep-1993	05-Jan-1993	08-Mar-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1994-072	ROBYN M. BELL	JONE SCHOEFFEL	07-Feb-1994	01-Feb-1991	09-May-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1994-073	CAROL PENNYCOOK	ODYSSEY PARTNERS, L.P.	04-Feb-1994	08-Jan-1993	14-Apr-1994	NCP	\$ -	\$ 3,561	\$ -	\$ -	\$ 3,561	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1994-074	MATTHEW GOTTLIEB	HOOGOVENS TECHNICAL SERVICES CANADA LTD.	10-Feb-1994	01-Feb-1991	29-Aug-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 834	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1994-137	Ronald Wilson	Grant Brown, Hendron Financial Inc.	06-Jun-1994	01-May-1994	03-Oct-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1994-142	Robyn Bell	Laventhol & Horwarth Limited	30-Jun-1994	01-Mar-1994	14-Nov-1994	NCP	\$ -	\$ 2,714	\$ -	\$ -	\$ 2,714	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1995-014	Ian Johnson	Industrial Strategic Capital	20-Sep-1994	01-Jun-1994	17-Mar-1995	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1995-032	Colin Campbell	Lancaster Funding Inc.	20-Oct-1994	01-Mar-1994	12-Mar-1996		\$ -	\$ 9,143	\$ -	\$ -	\$ 9,143	\$ -	\$ -	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS								
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability					
CLIAS1995-061	Sandra A. Forbes	Louis Harris Kalesky	31-Dec-1994	01-Jun-1994	02-Feb-1995	NCP	\$	- \$	4,045 \$	-	\$	- \$	4,045 \$	-	\$	-	\$	-	\$	-	\$
CLIAS1995-084	Beri Nadler	J. Silver Holdings Limited	06-Feb-1995	09-Jan-1994	11-Jul-1997	NCP	\$	- \$	31,717 \$	-	\$	-	\$	35,848 \$	-	\$	-	\$	-	\$	-
CLIAS1995-091	John Zinn	Fortis Inc.	17-Mar-1995	25-Jan-1995	18-May-1995	NCP	\$	- \$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS1995-114	James Kershaw	Donald D. & Ruth Campbell	11-Apr-1995	01-Feb-1991	14-Aug-1995		\$	- \$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS1996-074	John Zinn	Horizon Limited Partnership	27-Mar-1996	17-Jun-1988	28-Apr-2005	NCP	\$	-	112,841 \$	-	\$	-	\$	112,841 \$	-	\$	-	\$	-	\$	-
CLIAS1997-080	Arthur Shiff	Cadbury Beverages Canada Inc.	31-Mar-1997	31-Mar-1997	22-Oct-1997	NCP	\$	- \$	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS1997-090	David W. Smith	Toronto-Dominion Bank (Re: GW-CG Investments Ltd)	07-May-1997	31-Aug-1989	15-Jul-2002	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS1997-112	Donald C. Stanbury	CMIL Industries	19-Jun-1997	01-Feb-1990	20-Oct-1998	NCP	\$	-	4,926 \$	-	\$	-	\$	4,926 \$	-	\$	-	\$	-	\$	-
CLIAS1999-020	J. Beri Nadler	Union Industries Inc/BeckettTech.	11-Sep-1998	30-Jan-1995	03-Oct-2000	NCP	\$	-	138,198 \$	-	\$	-	\$	138,198 \$	-	\$	-	\$	-	\$	-
CLIAS1999-040	Steven B. Sharpe	Chrysler Canada/Canada Trust Co. et al	18-Nov-1998		28-Jan-1999	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS1999-102	David Deil	Festival Hall Developments Limited	16-Jun-1999	06-Nov-1998	20-Jun-2002	NCP	\$	-	56,098 \$	-	\$	-	\$	56,098 \$	-	\$	-	\$	-	\$	-
CLIAS1999-114	David/Ian Smith/Crosbi	Onex Corporation	29-Jun-1999		06-Dec-1999	NCP	\$	-	143 \$	-	\$	-	\$	19,885 \$	-	\$	-	\$	-	\$	-
CLIAS2000-067	Mark Hayes	Armstrong Holdings Inc/TV RadioNow Corp.	31-Dec-1999	13-Oct-1999	27-Jul-2000	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2000-140	Courtney Foster	Canplas Industries Ltd.	30-Jun-2000	01-May-1984	21-Nov-2000	NCP	\$	-	702 \$	-	\$	-	\$	1,142 \$	-	\$	-	\$	-	\$	-
CLIAS2001-060	Gillian Stacey	Patheon Inc.	09-Jan-2001	06-Nov-2000	09-Feb-2001	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2001-081	Patrick Barry	BMO Nesbitt Burns Equity Partners Inc.	07-Mar-2001	14-Sep-1998	11-Oct-2001	NCP	\$	-	4,358 \$	-	\$	-	\$	4,358 \$	-	\$	-	\$	-	\$	-
CLIAS2001-112	Daniel S. Miller	Mamma.Com Inc/Intasys Corp.	27-Apr-2001		30-Jun-2001	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2002-013	Katherine Peacocke	Anmara Corporation	31-Jul-2001		10-Oct-2002		\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2002-090	Janet Ferrier	Congress Financial Corporation (Canada)	20-Nov-2001	29-Jul-2001	31-Mar-2006		\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2002-156	Hillel W. Rosen	Metro Beaute Limitee	24-Apr-2002		07-May-2002	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2002-168	Genevieve Dufour	Maestro Real Estate Advisors	15-May-2002		17-Jun-2002	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2002-174	John Ulmer	Transplan Enterprises Ltd.	04-Jun-2002	23-May-1997	31-Oct-2002	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2002-177	MATTHEW GOTTILUB	Shaw Communications Inc.	04-Jun-2002	01-Jul-2001	08-Dec-2003	NCP	\$	-	3,352 \$	-	\$	-	\$	3,352 \$	-	\$	-	\$	-	\$	-
CLIAS2002-183	Nathan Boidman	A-Co	05-Jun-2002		31-Mar-2005	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2002-218	Gary Edward Taylor	Toromont Engergy Limited	28-Jun-2002	08-Apr-1999	16-Jul-2003	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2003-017	Ian Crosbie	Onex Corporation (re Sky Chefs)	13-Aug-2002	31-May-2001	31-Dec-2006		\$	200,400 \$	-	\$	-	\$	-	\$	200,400 \$	-	\$	-	\$	-	\$
CLIAS2004-152	Mathieu Bouchard	Ralph Faraggi and Robert Langlois	30-Apr-2004		22-Jun-2006	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2005-048	Denis Ferland	Eaux Vives Harricanad Inc.	29-Nov-2004		16-Feb-2007		\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2005-049	George R. Hendy	Michel Abaziou (Client Bellingham)	10-Dec-2004		23-Feb-2005	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2005-235	Sylvain Cossette	Unknown - stolen computer	30-Jun-2005		02-Mar-2009	NCP	\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2006-013	Mark Schragar	Ronald Weinberg et al V. Cinar Corp.	10-Aug-2005		31-Mar-2011		\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2006-074	James Doris	BMO Nesbitt Burns	31-Jan-2006		13-Mar-2006		\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-
CLIAS2006-075	Marc-Alexandre Poirier	Messo Automation Canada Ltd.	27-Jan-2006		09-Feb-2006		\$	-	-	\$	-	\$	-	\$	-	\$	-	\$	-	\$	-

[illegible]

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society						CLAS			
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Reserve	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2016-011	Nicolas Chaput	Lane Fuslier	05-Aug-2015		16-May-2016	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-051	Robert Vineberg	Bennington Financial Services Corp., Equirex Leasing Corporation	18-Nov-2015	17-Dec-2012			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-051B	Robert Vineberg	Bennington Financial Services Corp., Equirex Leasing Corporation	06-Apr-2017	17-Dec-2012			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-125	David Kim	Holden Rhodes/ 2471742 Ontario Inc.	05-Apr-2016		13-Dec-2016	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-127	Paul Prokos	Multiple Taxpayers	14-Apr-2016		29-Jan-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-150	Lloyd Perry Feldman	Avrum Morowitz (Morrow)	09-Jun-2016				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-027	Paul Prokos	Tony Vysniauskas, Wayne Sim	01-Sep-2016		29-Jan-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-052	Louis-Martin O'Neill	Groupe Raymond Chabot Grant Thornton	10-Nov-2016		17-Dec-2018	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-065	Michael D. Vineberg	Unknown Estates	12-Dec-2016				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-104	George Pollack	First Capital Realty Inc.	09-Feb-2017				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-028	Laurence Detere	Laurentian Bank of Canada Ltd.	17-Oct-2017		29-Jan-2018	SIR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-038	Guy Du Pont	ARIL Holdings (212) Ltd., 4092325 Investments Ltd., Burlington Retail	04-Dec-2017	05-Jun-2017			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-086	Mindy Gilbert	Potash Ridge Corporation	26-Mar-2018	21-Mar-2018			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-111	John Lennard	Pactim Holdings Company, Greycarr Holdings Inc., Greycarr Holdings	07-Jun-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-115	Christopher Anderson	Jose Bautista	14-Jun-2018	01-May-2014			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-006	Xavier Plamondon	RioCan REIT	26-Jun-2018	26-Jun-2018			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-031	Shayna Goldman	Advantech AMT Corp., Advantech Wireless (EMEA) Ltd., Advantech	05-Oct-2018	30-Aug-2018			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total							\$ 2,300,915	\$ 2,655,111	\$ -	\$ 510,000	\$ 5,491,779	\$ 15,519,673	\$ 2,251,256	\$ -	\$ 129,919	\$ 17,900,848

LawPro closed this file on or about April 9, 2018

CLAS file number is 111

gs/b 110

gs/b 01-Dec-2017

Agree - change made - NJI

CLAS file closed at 3/31/19

This should be closed.

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: Davies Ward Phillips & Vineberg LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Risk Management Policies

The following is a summary of the “risk management” policies adopted by Davies Ward Phillips & Vineberg LLP (the “Firm”):

Acting as Director or Officer

- Requires Management Committee approval for any lawyer to act as director, officer or trustee of any corporation or other organization and specifies information to be provided to the Management Committee in seeking approval and the application of directors’ fees and the other emoluments arising from the position.

Affidavits

- Specifies appropriate procedure for execution of affidavits as commissioner for oaths.

Anti-Corruption

- Policy to ensure that firm personnel do not engage or condone corrupt practices and are aware of applicable anti-bribery and anti-corruption laws.

Anti-Trust/Competition

- The Firm and all its personnel are instructed at all times to comply with all applicable competition laws. As a practical matter, the key provisions of Canadian and U.S. competition laws applicable to the Firm are prohibitions on anti-competitive agreements between competitors.

Asset Searches

- Outlines practices and procedures re: asset searches.

Auditors’ Response Letters

- Specifies procedures for responding to auditors’ letters by the Firm on behalf of its clients.

Cheques

- Establishes procedures for approving cheque requisitions and signing cheques.

Client Identification/Verification

- The Toronto and Montreal offices have client verification and identification procedures as required by the Law Society of Ontario and the Barreau du Québec.

Confidential Materials

- Specifies procedures for shredding and disposing of confidential materials.

Confidentiality and Securities Trading

- Outlines legal obligations of partners and employees of the Firm re: confidential information and insider trading and sets out appropriate procedures and practices to be followed in respect of dealing with confidential information and restricted securities.

Conflicts

- Specifies procedures for conflict checks when opening all new files and, where appropriate, provides for establishing of “ethical walls” in accordance with applicable laws and law society guidelines.

Dealing with the Press

- Specifies guidelines for dealing with press inquiries re: clients or transactions.

E-Mail

- Establishes standards for e-mail usage and storage of email records.

Fees and Accounts

- Outlines legal requirements and internal procedures re: docketing, fees, disbursements, billing practices and accounts.

Guidelines and Procedures for Ethical Walls and Restricted Access Matters

- Provides information as to the purpose and types of ethical walls and matters that are required to be maintained on a restricted access basis and sets out procedures for establishing and updating same.

Information Security and Technology Policy

- Sets out information and requirements for all members of the Firm relating to access to, and use of, confidential information, Firm technology and the Firm’s computer network.

Management of Client Records

- Outlines procedures for how documents should be dealt with throughout the course, and at the end, of a matter, the management/destruction of paper copies and the maintenance of electronic versions.

Mentor Program

- Establishes mentor program for junior lawyer and students to enhance professional development, to supervise work and to provide advice, coaching, training and feedback.

Opening a File

- A conflict search is conducted on all new clients as well as on the reopening of previously closed files. All new clients are reviewed and accepted by an equity partner. If a non-equity partner wishes to bring in a new client, the acceptance of this new client would be brought to the attention of the practice group leader who is an equity partner and who would make the final decision. A new client or a new matter form is opened for each new client or new matter for an existing client which sets out pertinent information relating to the client or matter including key words for use in subsequent conflict check.

Opinions

- Establishes opinions committee to prepare standard form opinions for the Firm and sets out procedures for review and approval of all Firm opinions.

Outside Counsel

- Specifies procedures when retaining or being retained as outside counsel; provides for maintenance of list of approved local counsel in other jurisdictions

Retention and Disposal of Client Files

- A policy for the disposal of client files was approved by the Management Committee driven by the *Limitation Act*, 2002 (Ontario) and taking into consideration the different limitation periods for our Montreal and New York offices. Real Property Title Searches, Estate Planning Matters, Clients Who are Minors or Incapacitated, Record Books and Adoption Records will be retained for an indefinite period.

Substance Misuse

- Outlines prohibited activities re: illicit drugs and alcohol and the consequences of breach of policy and identifies Firm support program for persons with substance- related problems.

Terms and Conditions Governing Ethical Walls and Restricted Access Matters

- Sets out the terms and conditions with which Firm members must comply when given access to matters which are subject to an ethical wall or maintained on a restricted access basis.

Trust Accounts

- Outlines legal requirements re: trust accounts.

Work Sharing

- Specifies practices relating to appropriate staffing of matters or transactions.

In 2007 and 2015, the Firm underwent a comprehensive risk management audit conducted by John L. Walker, Barrister and Solicitor (now Walker Sorenson LLP) which involved a review of the risk management policies and procedures of all the Firm's offices.

In 2013, the Firm rolled out the CLLAS E-Learning program, a risk management practice available to all lawyers and other professionals in all offices of the Firm.

APPENDIX H
CYBER LIABILITY

Name of Firm: Davies Ward Phillips & Vineberg LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Melanie Koszegi - Deputy Managing Partner

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☒ Data at rest
☒ Data in transit
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☒ yes ☐ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☒ yes ☐ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☒ yes ☐ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no

APPENDIX I

2019 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND
EXEMPTION FORM SUBMITTED TO LAWPRO

Name of Firm: Davies Ward Phillips & Vineberg LLP

Online 2019 Firm Filing Review

Submit Date: 04 OCT 2018

A037130

General LAW FIRM Information

1. Firm Information

Name of LAW FIRM: DAVIES WARD PHILLIPS & VINEBERG LLP
Address: 155 WELLINGTON ST WEST
TORONTO ON M5V 3J7
Phone Number: 416-863-0900
Fax Number: 416-863-0871
E-mail address: info@dwpv.com
Firm Website(s): www.dwpv.com
Managing Partner/LAWYER: A023808E DONALD SHAWN MCREYNOLDS
Office Admin/Manager: APRIL KELLIE
Claims Contact: A033236P JAMES WILLIAM EARL DORIS
CPD Contact: MANELI BADII
Nature of Law Practice: Limited Liability Partnership (LLP)

2. Contact name and title

Indicate the preferred firm contact for insurance matters.

Name: April Kellie
Title: Administrative Assistant

3. Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in LAW FIRM in Ontario

Indicate the current number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in the LAW FIRM in Ontario.

155

4. Number of staff in LAW FIRM in Ontario who are not LAWYERS

Indicate the current number of staff in the LAW FIRM in Ontario who are not LAWYERS. Include all staff who provide law-related services who are directly or indirectly employed, whether through management, other companies or otherwise, by the LAW FIRM or by any of the LAWYERS in the LAW FIRM or any spouse, including those EMPLOYEES who are casual or part-time EMPLOYEES. Independent contractors such as bookkeepers, PARALEGALS, researchers, etc. who are not EMPLOYEES of the LAW FIRM should not be included.

220

5. Excess liability insurance

Your excess insurers include: CLLAS
Canadian Lawyers' Liability Assurance Society

Liability limits:
(per CLAIM/aggregate in excess of LAWPRO POLICY limits)

\$ 220 Million **per CLAIM**
\$ 220 Million **aggregate**

6. Volume Billings

Indicate the **Average** Gross Billings **per** LAWYER in the LAW FIRM.

Average Gross Billings:
AV14 - Average over \$500,000

7. DEDUCTIBLE Option

\$25,000 Expenses & Damages Deductible

8. Innocent Party Sublimit Coverage

250k

9. Restricted Area of Practice Option: Criminal and/or Immigration Law

NO

10. Real Estate Practice Coverage Option

YES

Number of LAWYERS who have REAL ESTATE practice option: 16

11. Third-party payor authorization:

The LAWYERS' 2019 insurance premium will be paid by a third party, other than another LAWYER or PARALEGAL PARTNER OR SHAREHOLDER in the LAW FIRM, the LAW FIRM itself, or a management or other company of the LAW FIRM.

NO

If the LAWYERS' 2019 insurance premiums will be paid by a third party as described above, the undersigned LAWYER agrees to obtain the consent of the third party authorizing the transaction and providing for the use and disclosure of personal information in accordance with privacy legislation that came into effect on January 1, 2004.

This third party authorization will apply to future policy years, unless LAWPRO is advised in writing otherwise.

12. Instalment Option

CASH

LUMP SUM

13. Credit Card Payment Information

14. Pre-authorized Bank Payment Information

Institution:

Transit:

Account No:

Account Name:

Firm Summary Warranty & Signature

This Declaration shall constitute and form part of the 2019 Application Form for LAWPRO Professional Liability Insurance filed on behalf of each LAWYER in the Law Firm listed in the Member List.

It is understood, warranted and acknowledged that the undersigned LAWYER is authorized to act as agent for the purposes of this insurance on behalf of each of the LAWYERS. The LAWYERS each warrant and acknowledge that the information provided with this Application Form:

- is true and complete, or where estimates are required, that such estimates are reasonable;
- will be relied on by LAWPRO in assessing risk, in offering any terms of insurance and in issuing any policy of insurance;
- will be the basis of and form part of any resulting policy of insurance; and
- the information and options selected on this form should apply for 2019.

LAWYERS not currently carrying LAWPRO professional liability insurance coverage each acknowledge having read the LAWPRO Personal Information Statement for Ontario LAWYERS and PARALEGALS (LICENSEES) (which forms part of this Application Form). The LAWYERS consent to the collection, use and disclosure of personal information in any optional program(s) for which the LAWYERS choose to apply or are to be named as an INSURED/s in, now or in future policy years, in accordance with that Statement.

The LAWYERS each acknowledge his/her own on-going duty, through to the date of policy inception, to advise LAWPRO in writing of any material changes with respect to their practice circumstances.



Please check this box to evidence your signature for the Warranty & Signature Declaration above.

1	19056G	ADDY	GEORGE NOEL	29	Full Time	No
2	20830I	AINLEY	WILLIAM MICHAEL	37	Full Time	No
3	35743Q	ALEXANDER	ANTHONY MARK CLUNEY	25	Full Time	No
4	52460E	ANDERSON	CHRISTOPHER ALLAN	12	Full Time	No
5	23600K	ARMSTRONG	NEAL HUNTER	34	Full Time	No
6	45876G	ARNONE	FRANK SAVERIO ALFRED	16	Full Time	Yes
7	65792L	ATIN	AARON LEE	4	Full Time	No
8	42793G	BANICEVIC	ANITA	18	Full Time	No
9	37734R	BARON	ALFRED TIMOTHY TERRANCE	23	Full Time	No
10	34554P	BARRY	PATRICK GERARD	25	Full Time	No
11	35365N	BASRA	SARBJIT SINGH	24	Full Time	No
12	15535U	BAUER	ROBERT THEODORE	42	Full Time	Yes
13	45884I	BEN-ISHAH	STEPHANIE FANNY	19	Full Time	No
14	72815L	BERGER	STUART NEWTON	1	Full Time	No
15	70227M	BILYK	JONATHAN MICHAEL	2	Full Time	No
16	40314W	BLOOM	BRIAN TODD	26	Full Time	No
17	26825O	BODRUG	JOHN DAVID	31	Full Time	No
18	11023R	BROWN	DAVID ARTHUR	51	Full Time	No
19	56368D	BUCHNER	WILLIAM EDMUND	9	Full Time	No
20	54815T	BULLOCK	JESSICA ANNE	15	Full Time	No
21	48244K	BUNTING	JAMES DAVID	15	Full Time	No
22	37761L	BURKE	ANDREA LOUISE	22	Full Time	No
23	48660U	CAMERON	CARA	17	Full Time	No
24	49514J	CAMPBELL	SEAN RONALD	14	Full Time	No
25	58850N	CARLSON	ANDREW RICHARD	8	Full Time	No
26	58003T	CHEVALIER-GAGNON	CHRYSTELLE MARIE PATRICIA	8	Full Time	No
27	74506T	COLLAKU	DAJENA	0	Full Time	No
28	57055Q	COMEAU	GILLES ROBERT	9	Full Time	No
29	63601L	COMSTOCK	DEVON WILLIAM	5	Full Time	No
30	28382Q	CROSBIE	ROBERT IAN	30	Full Time	No
31	68703C	CURRAN	ADAM MICHAEL	3	Full Time	No
32	58863S	CUTLER	STEVEN JOHN	8	Full Time	No
33	55504B	DINNING	JAMES WILLIAM	10	Full Time	No
34	75443F	DISHY	EYTAN	0	Full Time	No
35	19490M	DISNEY	MICHAEL ALAN	38	Full Time	No
36	33236P	DORIS	JAMES WILLIAM EARL	26	Full Time	No
37	23573M	DUPONT	GUY	43	Full Time	No
38	70284L	ELHARRAR	SARAH ESTHER CLARA	2	Full Time	No
39	63637P	ELLIS	ANDREW THOMAS	5	Full Time	No
40	75452D	EMMANUEL	SANDRINE MELANI	0	Full Time	No
41	38208L	FANAKI	ADAM FOUAD	22	Full Time	No
42	70587P	FELDMAN	DAVID MATTHEW	2	Full Time	No
43	70880N	FINN	BRITTANY MORGAN	2	Full Time	No
44	72866A	IORELLI	AMANDA SARAH	1	Full Time	Yes
45	33253P	FORBES	SANDRA ANN	26	Full Time	No
46	61851I	FORD	SHARON ROSANNE	6	Full Time	No
47	58892E	FRANKEL	STEVEN GEDALIA	8	Full Time	No
48	72869L	FRANKS	JAIME ALEXANDRIA LEE	1	Full Time	No
49	46783K	FRIDMAN	RICHARD SAMUEL	16	Full Time	No
50	75470W	GAO	RUI ZHE	0	Full Time	No
51	21663J	GOLDLIST	ALBERT GEROLD	36	Full Time	No
52	46315P	GOTZEVA	IVANA E	15	Full Time	No
53	56784N	GREENSPOON	KEVIN ERIC	9	Full Time	No
54	45668B	GROSSKLAUS	JENNIFER LILLIANE	16	Full Time	No
55	37116M	GUTIERREZ SARABIA	LUIS IGNACIO	23	Full Time	No
56	75494R	HALL	RUSSELL JOHN OLIVER RANKIN	0	Full Time	No
57	60118R	HANCAS	IOANA DANIELA	7	Full Time	Yes
58	39086C	HARRIS	STEVEN MICHAEL	21	Full Time	No
59	62205B	HILL	CYNTHIA MARIE	6	Full Time	No
60	20305K	HOWARD	GREGORY JAMES	38	Full Time	Yes
61	34721C	HYMAN	SCOTT RICHARD	25	Full Time	No
62	47169A	JAMISON	BROOKE MARIE	16	Full Time	No
63	46340R	KANDEV	MICHAEL MIHAIL NEDELTCHEV	16	Full Time	No
64	72597I	KAPLAN	SIMON AVERY	1	Full Time	No
65	29540Q	KATZ	MARK CHARLES	30	Full Time	No
66	49342T	KEARNEY	ELISA KATHLENA	14	Full Time	No
67	67808H	KENYON	EVAN LLOYD	3	Full Time	No
68	44597J	KOSZEGI	MELANIE MYRIAM	17	Full Time	No
69	52294N	KURETZKY	JOSHUA HART	12	Full Time	No
70	68147W	LALANI	FAIZ MUNIR	2	Full Time	No
71	47844I	LAMARRE	PAUL ANTHONY	15	Full Time	No
72	72288R	LEE	SHIAO CHING RACHAEL	1	Full Time	No
73	73249C	LI	CHENYANG	1	Full Time	No
74	57010O	LITTLEJOHN	MAUREEN ELIZABETH	9	Full Time	No
75	37191H	LOMBARDI	GABRIELLA	23	Full Time	Yes
76	47854C	LONGHURST	JENNIFER FAYE	15	Full Time	No
77	61181O	LUBETSKY	MICHAEL HARRIS	9	Full Time	No
78	42383G	MACPARLAND	NATASHA JULIE	19	Full Time	No
79	70056S	MADDEN	ANNE	2	Full Time	No
80	65995C	MANJI	ALYSHA	4	Full Time	No
81	44618U	MARTIN	STEVEN RAY	17	Full Time	Yes
82	61929H	MARTORELLI	PETER NICHOLAS	6	Full Time	No
83	13937T	MCCAMUS	JOHN DOUGLAS	45	Full Time	No
84	23808E	MCREYNOLDS	DONALD SHAWN	34	Full Time	No
85	31197J	MERCIER	VINCENT ALONZO	28	Full Time	No
86	59883R	MIELCAREK	PAWEL	7	Full Time	Yes
87	72314T	MIHALIK	ANDREW TAMAS	1	Full Time	No
88	44266P	MILNE-SMITH	MATTHEW IAN	17	Full Time	No
89	70069A	MILNER	STEFANIE MICHELE	2	Full Time	Yes
90	70390L	MONKHOUSE	ALEXANDRA	2	Full Time	No
91	42313C	MURPHY	ROBERT STEPHEN	19	Full Time	No
92	17011F	NADLER	IRWIN BERL	39	Full Time	No
93	73295L	NGUYEN	THI THU HA	1	Full Time	No
94	74717M	O'YOUNG	JERRY	0	Full Time	No
95	18918N	OLASKER	PATRICIA LYNN	39	Full Time	No
96	47893F	OSADCHUK	DALE JOHN	15	Full Time	No
97	61659T	PEARLMAN	DANIEL ROBERT	6	Full Time	No
98	25633C	PENNYCOOK	CAROL DIANE	33	Full Time	No
99	64196V	PETERSON	DANIEL TIMOTHY	5	Full Time	Yes
100	36864B	PIKE	ALEXANDRIA JANE	23	Full Time	No

101	32540P	POLLACK	GEORGE JONATHAN	37	Full Time	No
102	70099F	PONTONE	MARC RYAN GIULIO	2	Full Time	No
103	34841D	POWELL	SARAH VIRGINIA	25	Full Time	No
104	60559S	PRIETO	JENNIFER	7	Full Time	No
105	42489S	PURI	POONAM	10	Full Time	No
106	69297Q	RAWLE	GEOFFREY LUCAS	3	Full Time	No
107	55953E	REINER	DAVID GABRIEL	10	Full Time	Yes
108	55954A	RENNER	NATALIE JANE	10	Full Time	No
109	52366N	RICCI	DEREK DEAN	12	Full Time	No
110	64582D	RIZVI	SYED ZAIN RAZA ALI	5	Full Time	No
111	45005R	RODRIGO	NICHOLAS	19	Full Time	No
112	42935O	ROTH	ELIE SHMAYA	17	Full Time	No
113	33049R	RUBIN	ROSELLE RAY	15	Full Time	No
114	13305N	RUBY	STEPHEN STUART	45	Full Time	No
115	30849T	RUSAW	CAMERON MARTIN	28	Full Time	No
116	66987L	SAMSON	SYLVIE JACQUELINE	4	Full Time	Yes
117	68895W	SAYAO	CARLOS OTAVIO ARBINO DE JARDIM	3	Full Time	No
118	38452I	SCHWILL	ROBIN BRUNO	22	Full Time	No
119	34465P	SCOLER	JOEL MARVIN	27	Full Time	No
120	45517W	SEIFRED	BRETT ANDREW	16	Full Time	No
121	61307S	SEMENOVYCH	SERGIY	6	Full Time	No
122	74790P	SHERMAN	MATTHEW AARON	0	Full Time	No
123	17128H	SHIFF	ARTHUR SEYMOUR	41	Full Time	No
124	75263N	SHIN	ERIN HEESHUN	0	Full Time	No
125	41945U	SHISHLER	MELANIE ANN	19	Full Time	No
126	73050G	SIBAI	GHAITH	1	Full Time	No
127	64986Q	SILVER	ABRAHAM ZACHARY	5	Full Time	No
128	61706F	SOLINGER	JARED ROSS	6	Full Time	No
129	39672K	SOOD	JITENDRA KUMAR	22	Full Time	No
130	41043D	SOUTHAM	GREGORY GEORGE	20	Full Time	Yes
131	56947F	SPADARO	ANTHONY	9	Full Time	No
132	60620Q	SPAGNOLA	CHANTELLE TERESA MARIE	7	Full Time	No
133	66099S	SPENCE	KRISTINE EMILY JEAN	4	Full Time	No
134	36093V	STACEY	GILLIAN RUTH	24	Full Time	No
135	64752B	STAPLEY	JASON WILLIAM EARL	5	Full Time	Yes
136	75720W	STEFANSKI	VERONIKA ANNA	0	Full Time	No
137	70511M	SUSAC	ANGELA CHRISTINA	2	Full Time	No
138	15417L	SWARTZ	JAY ARNOLD	43	Full Time	No
139	51432D	THOMAS	PATRICE ANDREA	14	Full Time	Yes
140	24264J	THOMSON	KENT EDWIN	34	Full Time	No
141	46118A	TINGLEY	CHARLES ERIC	17	Full Time	No
142	34921F	TURNER	GEOFFREY SCOTT	25	Full Time	No
143	19735V	ULMER	JOHN MICHAEL	36	Full Time	No
144	51439V	UPSHALL	ROBIN RAYE	13	Full Time	No
145	67969D	UZA	EMILY LAUREN	3	Full Time	No
146	40702T	VESEY	DEREK RICHARD GABOR	20	Full Time	No
147	57965M	WEINGARTEN	SARAH LYNNE MARKMAN	10	Full Time	No
148	43195A	WHITE	DAVID MICHAEL ROBERT	17	Full Time	No
149	75311S	WIERENGA	TODD ALEXANDER	0	Full Time	No
150	42374I	WILLARD	STEVEN RICHARD	19	Full Time	Yes
151	30162N	WILLIAMS	NICHOLAS CHARLES	29	Full Time	No
152	53269W	WILSON	DAVID THOMAS HAROLD	20	Full Time	No
153	51598C	WOLFENSOHN	DAN	15	Full Time	No
154	19390W	YODAN	TIMOTHY GEORGE	26	Full Time	No
155	74203P	ZAIFMAN	SAMARA NINA	0	Full Time	No